

LG Chem Supplier Code of Conduct

Version 2.1



Introduction

LG Chem, Ltd. (“LG Chem”) aims to become a global chemical company that grows together with society by fulfilling its roles and responsibilities as a member of global society. We strive to provide sustainable solutions that can contribute to resolving social/environmental problems, while endeavoring to adhere to the basic principles of sound business growth.

We have established this Code of Conduct for Suppliers (“Code”) to build sustainable supply chains through compliance with applicable laws and regulations.

All LG Chem’s suppliers (referred to individually as the “Supplier” and collectively “Suppliers”) shall adhere to this Code. Supplier shall also comply with all applicable laws and regulations in performing its contractual obligations, including all applicable employment, human rights, labor, environment, health & safety, tax, sanctions and import-export control laws and regulations. In addition to this, all Suppliers shall ensure all of their direct or indirect sub-suppliers are compliant with the Code as well as all applicable laws and regulations.

LG Chem or third-party auditors appointed by LG Chem may audit Supplier’s compliance with this Code. LG Chem may require Supplier to make corrective action plans and implement improvement measures if any risk is found in the course of such due diligence audit. If failures are not remedied within a timeline set by LG Chem, LG Chem may suspend or terminate the contract with Supplier. Details of the process are described hereafter.

This Code is referring the Responsible Business Alliance (RBA) Code of Conduct, the UN Guiding Principles on Business and Human Rights (UNGPs) and OECD Guidelines for Multinational Enterprises.

LG Chem reserves the right to revise this Code at anytime by LG Chem according to changes to LG Chem’s rules and regulations regarding relationships with Suppliers. If there are any conflicts between the Code and any relevant local laws and regulations, the higher standards shall prevail.



1. Human Rights and Labor

Suppliers shall comply with all applicable international, regional, and national human rights and labor laws, including local labor laws. Regulatory trends must be proactively monitored to ensure compliance with human rights and labor laws.

1.1 Forced Labor

All work must be voluntary. Forced, bonded (including debt and bondage) or indentured labor, involuntary or exploitative prison labor, slavery or trafficking of persons is not permitted. This includes transporting, harboring, recruiting, transferring, or receiving persons by means of threat, force, coercion, abduction of fraud for labor or services.

There shall be no unreasonable restrictions on workers' freedom of movement in the facility in addition to unreasonable restrictions on entering or existing company provided facilities.

All workers must be provided with a written employment agreement in their native language that contains a description of terms and conditions of employment and shall be free to leave work at any time or terminate their employment without penalty if reasonable notice is given as per worker's contract. Suppliers (including agents and sub-agents) shall not hold or otherwise destroy, conceal or confiscate identity or immigration documents such as government-issued identification, passports or work permits. Nevertheless, Suppliers may retain copies of workers' identity documents, such as passports, identity papers, travel documents, and other personal legal documents, to the minimum extent necessary to comply with local laws. Workers shall retain possession or control of all identity documents.

Suppliers shall not require workers to pay recruitment fees for their employment.

1.2 Child Labor

Child labor must not be used in any stage of manufacturing, and Supplier shall comply with all applicable child labor laws and regulations. The term "Child" means a person under the age of 15, or the age at which compulsory education ends, or the minimum age for employment as defined by local law, whichever is higher.

Young workers under the age of 18 shall not perform work that is likely jeopardize their health or safety, including night shifts and overtime. Suppliers shall implement or maintain management systems that facilitate compliance with child labor laws and regulations. Suppliers shall ensure proper management of student workers through proper maintenance in accordance with applicable laws and regulations.

1.3 Working Hours

Working hours are not to exceed the maximum set by local law, including any legal exception. Maximum working hours per week must be in accordance with local laws. All workers shall be allowed at least one day off every seven days. All overtime must be voluntary.

1.4 Wages and Benefits

Compensation paid to workers shall comply with all applicable wage laws, including those relating to minimum wages, overtime hours and legally mandated benefits. Wages must be paid on time and in the correct amount. Deductions from wages as a disciplinary measure shall not be permitted. For each pay period, workers shall be provided with a timely and understandable wage statement.

1.5 Humane Treatment and Non-Discrimination

There is to be no harsh or inhumane treatment including violence, sexual harassment, sexual abuse,



corporal punishment, mental or physical coercion, public shaming, or verbal abuse of workers; nor is there to be the threat of any such treatment. Disciplinary policies and procedures in support of these requirements shall be clearly defined and communicated to workers. Suppliers shall not engage in discrimination or harassment based on race, color, age, gender, sexual orientation, gender identity and expression, ethnicity or national origin, disability, pregnancy, religion, political affiliation, union membership or marital status in hiring and employment practices such as wages, promotions, reward and access to training.

Supplier shall not require pregnancy or medical tests, except where required by applicable laws and regulations, and shall not improperly discriminate based on test results. Workers shall be provided with reasonable accommodation for religious practices. Reasonable accommodations must also be provided for workers with disabilities.

1.6 Freedom of Association and Collective Bargaining

In conformance with local laws, Suppliers shall respect the right of all workers to form and join trade unions of their own choice, to bargain collectively, and to engage in peaceful assembly as well as respect the right of workers to refrain from such activities. Workers and/or their representatives shall be able to openly communicate and share ideas and concerns with management regarding working conditions and management practices without fear of discrimination, reprisal, intimidation, or harassment.



2. Health and Safety

Suppliers shall identify all risk factors related to health and safety in advance and take preventive measures accordingly, obtain relevant permits, maintain the latest status, and establish a system to minimize damage by implementing emergency response procedures.

Suppliers shall provide appropriate personal protective equipment to workers to minimize exposure to chemical, biological, and physical agents and ensure that workers can cope with risks through health and safety education.

Suppliers also shall provide clean work facilities for all workers, and establish a system that workers can freely raise issues related health and safety without any fear of retaliation.

2.1 Occupational Safety

Workers' potential for exposure to health and safety hazards (chemical, electrical and other energy sources, fire, electric shock, and fall hazards) are to be identified, assessed, and mitigated using the controls, which includes substituting processes or materials, controlling through proper design, implementing engineering and administrative controls, preventative maintenance and safety work procedures (including lockout/tagout), and Supplier shall provide ongoing occupational health and safety training. Where hazards cannot be adequately controlled by these means, workers are to be provided with appropriate personal protective equipment, and educational materials about risk to them associated with these hazards.

Reasonable steps must also be taken to remove pregnant women and nursing mothers from working conditions with high hazards, remove or reduce any workplace health and safety risks to pregnant women and nursing mothers, including those associated with their work assignments and provide reasonable accommodations for nursing mothers.

2.2 Emergency Preparedness

Potential emergency situations and events are to be identified and assessed. Supplier shall develop and implement emergency plans and response procedures, such as procedures for reporting, disseminating information during emergency, etc. In order to prevent emergencies, Supplier shall provide workers with evacuation training in accordance with relevant laws and regulations, and emergency drills must be conducted at least once a year or as required by local law, whichever is more stringent. Supplier shall minimize damage from emergencies by establishing and implementing response procedures, including securing appropriate fire detection and extinguishing equipment, securing unobstructed emergency evacuation routes, and recovery plans.

2.3 Occupational Injury and Illness

Procedures and systems are to be in place to prevent, manage, track and report occupational injury and illness, including provisions to encourage worker reporting, classify and record injury and illness cases, provide necessary medical treatment, investigate cases and implement corrective actions to eliminate their causes and facilitate the return of workers to work. Furthermore, Suppliers shall conduct process improvement, have workers stretch/to take proper break, and have appropriate staffing to prevent occupational injury and illness. Suppliers also shall have workers to take medical examinations in the manner required by law. Appropriate measures, such as reexamination, process improvement, working hours reduction, and job rotation must be taken depending on the results.

2.4 Industrial Hygiene



Worker exposure to chemical, biological, and physical agents is to be identified, evaluated, and controlled through regular work environment measurements. Those identified agents should be eliminated or reduced by appropriate process improvements and technical and administrative controls depending on the degree.

When hazards cannot be adequately controlled by such means, workers are to be provided with and use appropriate personal protective equipment. Protective programs shall include educational materials about the risk associated with these hazards, reducing working hours and job rotation.

2.5 Physically Demanding Work

Worker exposure to hazards of physically demanding tasks including heavy lifting and highly repetitive tasks is to be identified, evaluated, and controlled. Appropriate measures should be implemented such as process improvement, job circulation, and workplace stretching to prevent musculoskeletal disorders from occurring.

2.6 Machine Safeguarding

Suppliers shall conduct safety inspections of all hazardous and dangerous facilities regularly and record them in accordance with applicable laws and regulations. For the safety of workers, Suppliers shall provide physical guards and install barriers and safety devices (e.g. interlock the guard that the machine cannot start before the guard is closed and cannot be opened while the machine is still moving) and maintain physical guards, barriers and safety devices in good condition.

2.7 Sanitation, Food and Housing

Workers are to be provided with ready access to clean toilet facilities, potable water and sanitary food preparation, storage and eating facilities. Worker dormitories provided by Suppliers or a labor agent are to be maintained to be clean and safe, and provided with adequate lighting and heat and ventilation, appropriate emergency egress, individually secured accommodations for storing personal items, and reasonable personal space.

2.8 Health and Safety Communication

Suppliers shall provide workers with appropriate health and safety information and training in a language the worker can understand for all identified workplace hazards that workers are exposed to, including but not limited to mechanical, electrical, chemical, fire and physical hazards. Health and safety training is provided to all workers regularly with the contents required by relevant laws and regulations. Workers shall be encouraged to raise any health and safety concerns without retaliation. Health and safety information shall be clearly posted in the facility or placed in a location identifiable and accessible by workers.



3. Environment

Suppliers shall obtain all necessary environmental permits and comply with all applicable governmental environmental laws and regulations, mitigate the negative impact on the community and actively comply with laws and LG Chem's requirements related to hazardous substances, wastes, wastewater, greenhouse gases, and air emissions generated in the manufacturing process. The following rules shall apply to Suppliers to the maximum extent applicable.

3.1 Environmental Permits and Reporting

Supplier shall obtain, maintain, and keep current all required environmental permits, approvals, and registrations. Supplier shall follow all operational and reporting requirements for all required permits, approvals, and registrations.

3.2 Pollution Prevention and Resource Reduction

Suppliers shall strive to minimize pollutant emissions and waste generation through improving production, maintenance and facility processes, or by other means. In addition, Suppliers shall make active efforts to minimize environmental pollution, such as using environmentally friendly materials during the packaging process for final delivery. The use of natural resources, including water, fossil fuels, minerals and virgin forest products is to be conserved by practices such as modifying production, maintenance and facility processes, materials substitution, re-use, conservation, recycling, or other means.

3.3 Environmental Safety Plan

Suppliers shall establish and actively pursue an environmental safety plan that includes the following items so that air and water pollutants, and waste generated during the manufacturing process can be continuously reduced:

1. Environmental safety policy and activity goals;
2. Environmental safety education plan; and
3. Establishment of accident prevention activities and emergency action plans.

3.4 Environmental Safety Regulations

Suppliers shall create and operate their own environmental safety regulations (or procedures) to minimize environmental pollution that, at a minimum, comply with all applicable laws and regulations.

3.5 Hazardous Substances

Chemicals, waste and other materials posing a hazard to humans or to the environment are to be identified, labeled, and managed to ensure their safe handling, movement, storage, use, recycling or re-use and disposal in accordance with applicable laws and regulations.

3.6 Solid Waste

Suppliers shall implement a systematic approach to identify, manage, reduce and responsibly dispose of or recycle solid waste (non-hazardous). Suppliers shall trace and document waste data.

3.7 Air Emissions

Air emissions of volatile organic chemicals, aerosols, corrosives, particulates, ozone depleting



substances, and combustion by products generated from operations are to be characterized, routinely monitored, controlled and treated as required prior to discharge according to local laws and regulations. Suppliers shall conduct routine monitoring of the performance of its air emissions control systems.

3.8 Materials Restrictions

Suppliers are to adhere to all applicable laws, public or administrative regulations, and LG Chem's Guideline for Eco-friendly Supply Chain Management and requirement of customers regarding the prohibition or restriction of specific substances, including labeling for recycling and disposal.

3.9 Water Management

Suppliers shall implement a water management program that documents, characterizes, and monitors water sources, use and discharge; controls channels of contamination.

All wastewater is to be characterized, monitored, controlled and treated as required by the applicable regulations prior to discharge or disposal.

Suppliers shall conduct routine monitoring of the performance of its wastewater treatment and containment systems to ensure optimal performance and regulatory compliance.

3.10 Energy Consumption and Greenhouse Gas Emissions

Suppliers are to establish and report a corporate-wide greenhouse gas reduction goal. Energy consumption and greenhouse gas emissions of Scope 1, 2 and 3 categories must be traced, documented and publicly reported. Suppliers shall cooperate to widen the use of renewable energy in the manufacture of products for LG Chem.

3.11 Other Environmental Management

Suppliers shall dedicate themselves to minimizing negative impacts on biodiversity, soil pollution, marine pollution, forest destruction, and boundary noise. Suppliers shall take actions to conserve resources and protect biodiversity so as not to harm community life, including indigenous people.

3.12 Product Life Cycle Assessment

Upon LG Chem's request, Suppliers shall conduct a life cycle assessment related to production, product and transportation, and provide environmental impact data supporting the assessment.



4. Responsible Sourcing of Minerals

Suppliers shall establish policies and conduct due diligence on Relevant Minerals (as defined in Appendix 1) in the manufacturing process in accordance with OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (the “OECD Guidance”) or equivalent recognized due diligence standards.

4.1 Prohibition of Use of Unethical Minerals

Suppliers shall prohibit the use of the Relevant Minerals sourced through any illegal, unethical, or improper processes which result in human rights abuses, severe health and safety risks, and environmental harm, including water depletion and consumption, waste, and pollution.

4.2 Upstream Supply Chain Management

Suppliers shall procure Relevant Minerals solely from suppliers approved by LG Chem, and shall not alter their sub-tier suppliers in upstream supply chain without LG Chem’s prior written consent. Suppliers shall provide LG Chem with necessary assistance and information reasonably needed by LG Chem to evaluate new sub-tier suppliers that Suppliers wish to use in its supply chain for manufacture of products for LG Chem.

4.3 Supply Chain of Minerals Assessment and Management

Suppliers shall identify, address and mitigate any risks in its supply chain related to the mining and processing of the Relevant Minerals. Suppliers shall develop and execute due diligence policy and system measures of the origin and chain of custody on Relevant Minerals from conflict-affected and high-risk areas defined in Appendix 1 in accordance with all applicable laws and international industry standards including the OECD Guidance) as further described in Appendix 1, and actively cooperate with LG Chem’s due diligence in accordance with the sustainability standards required by LG Chem regarding human rights, environment, health and safety (“Sustainability Standards”). Suppliers shall avoid using Relevant Minerals from smelters or refineries that do not meet the requirements of the OECD Guidance, and shall order its subcontractors to act accordingly. Suppliers shall ensure that its suppliers of Relevant Minerals follow the OECD Guidance and the Sustainability Standards, and shall demonstrate such compliance upon LG Chem’s request. Suppliers shall provide information related to its due diligence in accordance with the OECD Guidance and LG Chem’s reporting processes and requirements.

4.4 Responsibility to Procurement of Minerals

Suppliers shall be liable for all direct and indirect damages incurred by LG Chem due to non-compliance of this Section (Responsible Sourcing of Minerals), and implement appropriate corrective actions within a reasonable timeframe, so as to remedy the violation and to prevent similar future occurrence. The corrective actions shall include alternative sourcing or mineral substitution.



5. Compliance and Ethics

Suppliers shall comply with all applicable laws and regulations and conduct all business relationships ethically. LG Chem strictly prohibits all forms of corruption, such as improper advantage and bribery. Suppliers must comply with all applicable anti-corruption laws and regulation where they conduct business, including, but not limited to, applicable anti-money laundering, and antitrust and fair competition laws and regulations. In addition, Suppliers shall protect whistleblowers, intellectual property rights, confidential business information, and personal information.

5.1 Business Integrity and Compliance with Laws

In accordance with LG Chem's Jeong-Do Management policy, bribes or other means of obtaining undue or improper advantage are not to be promised, offered, authorized, given or accepted. This prohibition covers promising, offering, authorizing, giving or accepting of value, either directly or indirectly through a third party, in order to obtain or retain business, direct business to any person, or otherwise gain an improper advantage. LG Chem requests its Supplier to respect the Jeong-Do principles in business operations with LG Chem group as well as with other third parties.

Suppliers shall comply with all laws related to anti-corruption and anti-money laundering, and establish procedures to investigate and sanction any acts of corruption and improve organizational culture and practices. Furthermore, Suppliers shall not engage in acts that hinder the transaction order, such as unfair trading practices, and shall comply with laws and regulations related to antitrust and fair competition laws and regulations.

5.2 Disclosure of Information, Documentation

All business dealings should be transparently performed and accurately reflected on Suppliers' business books and records. Information regarding Suppliers' labor, human rights, health and safety, environmental practices, business activities, structure, financial situation and performance is to be disclosed in accordance with applicable regulations and prevailing industry practices. Supplier shall maintain documents and records of all identified risks and the actions taken to follow up on such risks. Falsification of records and misrepresentation will not be tolerated and an internal accounting management system that can guarantee accounting transparency must be established and managed.

5.3 Whistleblower Protection and Non-Retaliation

Suppliers should have a communicated process for their personnel to be able to raise any concerns without fear of retaliation. Suppliers shall operate programs that ensure confidentiality, anonymity and protection of employee whistleblowers.

5.4 Intellectual Property

Intellectual property rights of all stakeholders are to be respected, transfer of technology and know-how is to be done in a manner that protects intellectual property rights. The intellectual property rights of LG Chem and LG Chem's customers and sub-tier suppliers must be safely managed and protected.

5.5 Protection of Confidential Business Information

Suppliers shall keep and manage all information with economic value, such as business, technical, management, business secrets, and other information acquired in their business with LG Chem. Any information that is related to LG Chem shall not be provided or divulged to a third party or used for



personal or improper purposes.

5.6 Privacy

Suppliers shall commit to protecting the reasonable privacy expectations of personal information of everyone that do business with, including sub-tier suppliers, customers, consumers and employees. Suppliers are to comply with privacy, data protection and information security laws and regulatory requirements when personal information is collected, stored, processed, transmitted and shared.



6. Management System

Suppliers shall establish a management system with a scope that is related to comply with applicable laws, regulations and customer requirements including environment, human rights and labor, health, safety and ethics issues.

6.1 Company Commitment

Supplier shall post a policy statement endorsed by executive management in the local language at all business sites expressing their commitment to compliance and continuous improvement regarding human rights, environment health and safety, and ethical standards.

6.2 Management Accountability and Responsibility

Supplier clearly identifies company representative(s) responsible for ensuring implementation of the management systems and associated programs. Senior management reviews the status of the management systems on a regular basis.

6.3 Legal and Customer Requirements

Supplier shall establish and operate a process to identify, monitor and understand applicable laws, regulations and customer requirements including the requirements of this Code.

6.4 Risk Assessment and Management

Suppliers shall establish and operate a process to identify the legal compliance, environmental, health and safety, human rights and labor risks associated with Supplier's operations.

6.5 Improvement Objectives

Suppliers shall establish written performance objectives, targets and implementation plans to improve Supplier's social, environmental and health and safety performance, including a periodic assessment of Supplier's performance in achieving those objectives.

6.6 Training

Supplier shall operate training programs for manager and workers to implement Supplier's policies, procedures and improvement objectives and to meet applicable legal and regulatory requirements.

6.7 Communication

Supplier shall establish and operate a process of communicating clear and accurate information about Supplier's policies, practices and performance to workers, suppliers and customers.

6.8 Worker Feedback, Participation and Grievance

Suppliers shall operate an effective grievance mechanism to assess workers' understanding of and obtain feedback on or violations against practices and conditions covered by this Code and foster continuous improvement.

6.9 Audits and Assessments

Supplier shall conduct a periodic self-assessment to ensure conformity to legal and regulatory requirements, the content of the Code and customer contractual requirements related to social and environmental responsibility.



6.10 Corrective Action Process

Supplier shall establish and operate a process of timely correction of deficiencies identified by internal or external assessments, inspections, investigations and reviews. Supplier shall immediately notify LG Chem in writing if it becomes aware of any violation of this Code.

6.11 Documentation and Records

Suppliers shall create and maintain documents and records to ensure regulatory compliance and conformity to company requirements along with appropriate confidentiality to protect privacy.

6.12 Supplier Responsibility

Suppliers shall establish and operate a process to communicate the requirements of this Code to its suppliers and to monitor sub-tier supplier's compliance to this Code.



Acknowledgement for LG Chem Supplier Code of Conduct

We fully understand and agree to comply with the LG Chem Code of Conduct for Suppliers (this “Code”).

1. We are fully aware of the contents of this Code, and are committed to faithfully comply with the requirements therein which we recognize as significant factor as a supplier who carries out transactions with LG Chem. We fully acknowledge that a breach of any of our obligations under this Code can be considered as a material breach of contract, and that if the relevant issue is not remedied or improved, upon written notice, LG Chem may take actions, including request for corrective measures, suspension or termination of the business relationship.

2. If LG Chem or a party designated by LG Chem requests a survey or a visit to our company in order to audit our compliance with this Code, and asks that we take any corrective measures based upon the results of such audit, we will cooperate and respond to any request of LG Chem, to the extent our operational activities, intellectual property rights and other rights are not adversely affected. Such exceptions can be decided in consultation with LG Chem. Upon reasonable advance notice, we shall permit, and shall cause to our subcontractors or suppliers to provide, LG Chem with access to relevant facilities, records, and personnel. We shall promptly implement reasonable corrective action to remedy any non-conformance with this Code, and shall require our subcontractors or suppliers to do so as well when non-conformance is identified by audit.

3. We shall communicate the requirements of this Code to our employees as well as our suppliers and cause them to comply with the terms and conditions of this Code.

4. We agree that this Code is subject to change and may be amended, or supplemented by LG Chem. If there are inconsistencies between a supply agreement and this Code, this Code shall prevail to the extent of the inconsistencies.

5. We shall maintain documents and records of all identified risks, and the actions taken to follow up on such risks, for a period requested by LG Chem from the date each risk is first identified and reported. We shall immediately notify LG Chem in writing if we become aware of any violation of this Code.

This Acknowledgement is made in two original copies, one for us and the other for submission to LG Chem.

_____, 20_____

Company Name:

Sign: _____

Name:

Title:



Appendix 1: Due Diligence Policy

1. Due Diligence Target

Relevant Minerals	Conflict minerals (i.e., tin, tantalum, tungsten, gold), minerals used in manufacturing lithium-ion batteries, such as cobalt, nickel, lithium, manganese, graphite, and other minerals or materials, which may be added by request of LG Chem and/or its customers, mined in conflict -affected and high-risk areas
Conflict-Affected Areas	Democratic Republic of the Congo, Sudan, Rwanda, Burundi, Uganda, Congo, Zambia, Angola, Tanzania, Central African Republic
High-Risk Areas	Areas of environmental harms, health and safety risks, political instability or repression, institutional weakness, insecurity, collapse of civil infrastructure and widespread violence

2. Due Diligence Standard

- OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas
- All applicable laws and international industry standards
- LG Chem's requirements with respect to the scope and methodology of audit and assessment

[OECD Due Diligence Guidance for the Relevant Minerals– 5 Steps Frame]

No.	Steps	Contents
1	Establish strong company management system	<ul style="list-style-type: none"> • Establish due diligence policy and management system (Ref : OECD Guidance Annex II, EU Battery Regulation Annex X) <ul style="list-style-type: none"> - Risk identification - Risk prevention and mitigation - Third-party verifications or audits of supply chain due diligence - Mechanism for reporting applicable risks • Have due diligence policy in place consistent with OECD Guidance and this Code, to improve transparency and traceability, and continuously work with implementing developments that improve the integrity of the information related to the transparency and traceability • Communication of due diligence policy with stakeholders, including suppliers (Relevant provisions included in the contract) • Supply chain history management
2	Identify and assess risks in supply chain	<ul style="list-style-type: none"> • Identify risks in supply chain <ul style="list-style-type: none"> - At least annually, or additionally as requested by LG Chem. - Supply chain mapping should include identification and location information with respect to subcontractors and suppliers associated with Relevant Minerals, and the country of origin of all Relevant Minerals • Assess risks of suppliers based on OECD Guidance Annex II EU Battery Regulation Annex X <ul style="list-style-type: none"> - Review and investigate identified and prioritized risks received from LG Chem and any other resources - Gather information in connection with risk mapping as may be requested by LG Chem
3	Design and implement strategy to respond to identified risks	<ul style="list-style-type: none"> • Devise and adopt risk management plan identified in the ‘Identify and assess risk in supply chain’ phase <ul style="list-style-type: none"> - Resolve reporting gaps in risk assessments - Directly or indirectly request that applicable supply chain actors take prevention, mitigation, and resolution actions - Terminate relationships with supply chain actors that are unwilling to engage in necessary supply chain and risk mapping, resolutions of high risks, and verifications or audits • Report findings of the supply chain risk assessment and risk management plan to the designated senior management of Supplier
4	Carry out supply chain due diligence at identified points in the supply chain	<ul style="list-style-type: none"> • Due diligence based on OECD Due Diligence Guidance (Conduct independent third-party audit) <ul style="list-style-type: none"> - Participate in and complete third-party verifications or audits of applicable risks - Provide clear guidance on intended improvements to risk management - Remain actively involved in the preparation and remediation of identified verification or audit gaps - Make progress towards third-party verification or audit within a reasonable time

		as requested by LG Chem
5	Report on supply chain due diligence	<ul style="list-style-type: none"> • Publicly report on supply chain due diligence results in accordance with the OECD Guidance (through sustainability management report, annual report, etc.) • Provide supply chain mapping information to LG Chem or to a recognized third party to aggregate reporting across the mineral supply chain • Report any significant changes, and/or new information affecting previously provided information



Appendix2: References for the LG Chem Code of Conduct for Supplier

The following standards were used in referencing this LG Chem Code of Conduct for Supplier, on which additional information can be found at the sites listed:

RBA Code

<http://www.responsiblebusiness.org/code-of-conduct/>

ILO International Labor Standards

www.ilo.org/global/standards/lang-en/index.htm

ISO 14001

www.iso.org

OECD Guidelines for Multinational Enterprises

www.oecd.org

OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas

<http://www.oecd.org/investment/mne/mining.htm>

SA8000 and SAI (Social Accountability International)

<http://www.sa-intl.org/>

United Nations Global Compact

www.unglobalcompact.org

Universal Declaration of Human Rights

www.un.org/Overview/rights.html

United Nations Guiding Principles on Business and Human Rights (UNGPs)

<https://www.undp.org/laopdr/publications/guiding-principles-business-and-human-rights>

European Battery Regulation – Annex X

<https://eur-lex.europa.eu/legal-content/EN/TXT/HTML/?uri=CELEX:52020PC0798>

Version History

Version	1.0	2016	Enactment of Suppliers Code of Conduct
	1.1	April 2020	Partial amendment to the code
	2.0	March 2023	Partial amendment to the code
	2.1	January 2025	Partial amendment to the code